

Certificate in Capital Market New Intake – January 2011



The only pathway to become a

Licensed Investment Advisor

*An excellent opportunity to build a rewarding career in financial services industry after
Advanced Level*

The Financial Services Academy (FSA) which is the education, training and research arm of the Securities and Exchange Commission of Sri Lanka is commencing a new batch of the Certificate in Capital Markets (CCM) which is the Academy's benchmark qualification for capital market practitioners and hereby invites your applications for Registration.

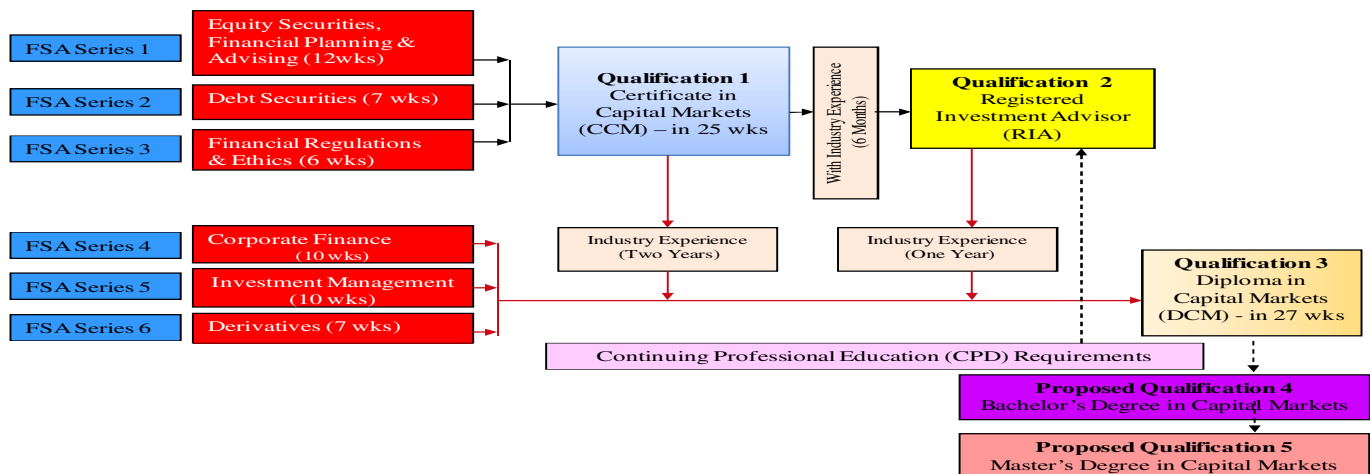
The main objective of this qualification framework is to ensure that financial industry professionals have a minimum level of proficiency to perform their job functions. This is an essential prerequisite for developing a viable securities industry and safe guarding the interests of the investors. Obtaining this qualification will pave the way for recruitment in the areas of Banking, Stock brokering, Money brokering, Fund management, Investment banking, Corporate financing, Venture capital, Insurance, Leasing & Finance, Accounting, Valuation, Financial consulting etc.

Why this qualification is unique

- This is the only qualification in Sri Lanka for the Capital Market sector to progress upto Master's Degree level.
- Licence to function as an investment advisor can be only obtained through this qualification framework.
- The recognition of the qualification is due to the fact that it is granted by a Government Authority which is the highest authority in the capital market in Sri Lanka.

Statutory Requirement

In terms of the Securities and Exchange Commission of Sri Lanka (SEC) Act No. 36 of 1987 (as amended), obtaining the Certificate in Capital Markets is a mandatory requirement to function as an investment advisor. The Certification is issued by the Financial Services Academy (FSA).



Entry Qualifications

Minimum entry qualification is passing all subjects at the Advanced Level Examination. Applicants who do not possess the minimum entry qualification should be eligible from the below mentioned two categories;

The professional level entry qualification category: by possessing either Institute of Chartered Accountants of Sri Lanka (ICASL), Chartered Institute of Management Accountants (CIMA), Association of Chartered Certified Accountants (ACCA), Sri Lanka Institute of Marketing (SLIM), The Association of Accounting Technicians of Sri Lanka (AAT) or any other qualification acceptable to the FSA.

The academic level entry qualification category: by possessing a Bachelor's Degree in either Finance, Banking, Business Administration, Accounting, Management, Economics, Marketing, MIS / IT, Engineering, Law or Arts.

Student Support

Classes are conducted by an expert panel of lecturers drawn from the academia and industry. The students will additionally be supported by review sessions, mock examinations and one-on-one support methods. Attendance at lectures is not compulsory.

Exemptions

Exemptions from any particular series will be granted on a case by case basis upon evaluating the documentary evidence of prior academic or professional training in the particular subject area acceptable to the FSA.

Candidate Resources Pack

Candidate will be required to purchase the text books, study guides, financial calculator and other supplementary material (study notes and PPT are included in the course fee).

Payment details

Course Fee is subsidized by the SEC and is stated below for the two categories. (Course fee excludes the examination fee)

Subsidized Price : Rs. 26,500/- (VAT included)

University Students : Rs. 17,500/- (VAT included)*

***Conditions apply**

Examination Fee : Rs 1,700/- (VAT included) per Series

Cheques and cash should be deposited to **Bank of Ceylon (Corporate Branch) A/C No. 9919753** and forward the original receipt along with the application form (All cheques should be drawn in favor of **“Securities and Exchange Commission of Sri Lanka”** crossed “A/C Payee Only”)

Course Details

- Next batch commences in January 2011.
- Duration approximately 7 months. Course schedule and other relevant details will be provided to you at the registration.
- Classes will be held on Saturdays at the World Trade Centre, Colombo 1.
- Registration will be closed on 30th November 2010 **(However, we will only accommodate 80 applications on first come first serve basis).**
- Registration forms are available at the SEC and the FSA websites (www.sec.gov.lk & www.fsalanka.edu.lk) and at the SEC Reception, Level 29, East Tower, World Trade Centre, Colombo 1.
- For further details call +94-112-439144 ext :104

The Director - Financial Services Academy
Securities and Exchange Commission of Sri Lanka
Level 28-29, East Tower, World Trade Center, Echelon Square, Colombo 01